

# Computing $\alpha_s$ and light quark masses on the lattice

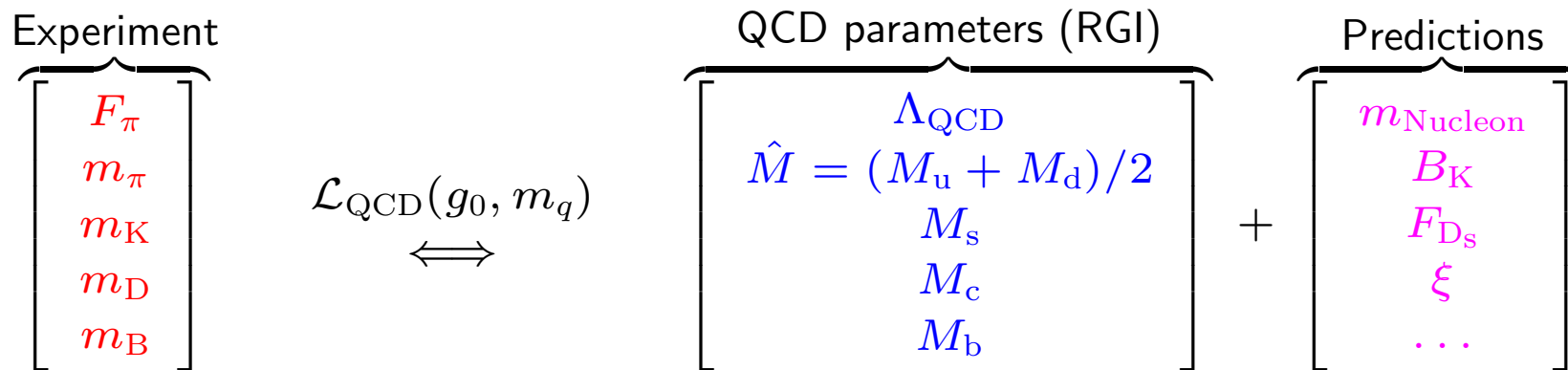
Francesco Knechtli  
Bergische Universität Wuppertal

*Quark Masses and the Strong Coupling Constant*, Aachen, February 21, 2007

## Outline

- Introduction
- Non-perturbative renormalization
- The running strong coupling  $\alpha_s$
- The running quark masses: light quarks
- Conclusions and Outlook

## Introduction



- QCD coupling and quark masses can be determined only indirectly, through comparison between theory and experiment
- Theory computations are based on
  - *perturbation theory* at high energy  $\gtrsim 2 - 10$  GeV, where the **renormalization group invariant (RGI) parameters**  $\Lambda_{\text{QCD}}$ ,  $M_q$  are defined
  - *lattice theory and simulations* to obtain **hadronic properties** and their connection to high energy, e.g.  $F_\pi/\Lambda_{\text{QCD}}$ ,  $M_s/F_K$ ,  $\dots$
  - *QCD sum rules*

## Introduction

Any theoretical prediction in terms of the QCD coupling and quark masses must make reference to the renormalization scheme and renormalization scale  $\mu$  used

Running of renormalized parameters is described by renormalization group equations (RGE)

$$\mu \frac{d\bar{g}}{d\mu} = \beta(\bar{g}), \quad \mu \frac{d\bar{m}_q}{d\mu} = \tau(\bar{g})\bar{m}_q, \quad q = 1, \dots, N_f$$

Perturbative expansions, but in general non-perturbatively defined!

$$\beta(\bar{g}) \underset{\bar{g} \rightarrow 0}{\sim} -\bar{g}^3 \{b_0 + b_1\bar{g}^2 + b_2\bar{g}^4 + \dots\}, \quad \tau(\bar{g}) \underset{\bar{g} \rightarrow 0}{\sim} -\bar{g}^2 \{d_0 + d_1\bar{g}^2 + \dots\}$$

Renormalization conditions at zero quark mass  $\Rightarrow \beta$  and  $\tau$  do not depend on the mass  
 $\Rightarrow \bar{m}_q(\mu)/\bar{m}_{q'}(\mu) = m_q^{\text{bare}}/m_{q'}^{\text{bare}}$

The  $\overline{\text{MS}}$  scheme is the most commonly used for perturbative QCD computations

$b_0, \dots, b_3$  (van Ritbergen, Vermaseren and Larin, 1997; Czakon, 2005) and

$d_0, \dots, d_3$  (Chetyrkin, 1997; Vermaseren, Larin and van Ritbergen, 1997) are known

## Introduction

Fundamental, RGI parameters of QCD

$$\Lambda = \mu (b_0 \bar{g}^2)^{-b_1/2b_0^2} e^{-1/(2b_0 \bar{g}^2)} \exp \left\{ - \int_0^{\bar{g}} dx \left[ \frac{1}{\beta(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}$$
$$M_q = \bar{m}_q (2b_0 \bar{g}^2)^{-d_0/2b_0} \exp \left\{ - \int_0^{\bar{g}} dx \left[ \frac{\tau(x)}{\beta(x)} - \frac{d_0}{b_0 x} \right] \right\}$$

Any physical quantity  $P$  can be considered to be a function of  $\Lambda$  and  $M_q$

$$\mu \frac{d}{d\mu} P(\mu, \bar{g}, \{\bar{m}_q\}) = 0 \Rightarrow P = P(\Lambda, \{M_q\})$$

Simple scheme dependence of RGI quantities between different mass independent renormalization schemes (Sint and Weisz, 1998)

$$\Lambda' = \Lambda \exp\{\mathcal{X}_g^{(1)}/(2b_0)\}, \quad M'_q = M_q$$
$$\bar{g}'^2 = \bar{g}^2(1 + \mathcal{X}_g^{(1)}\bar{g}^2 + \dots)$$

## Non-perturbative renormalization

The scale problem: we want to compute  $M_s/F_K$  on the lattice

$$\begin{array}{ccccccc}
 L & \gg & \frac{1}{F_K} \sim \frac{1}{0.2 \text{ GeV}} & \gg & \frac{1}{\mu} \sim \frac{1}{10 \text{ GeV}} & \gg & a \\
 \uparrow & & \uparrow & & & & \uparrow \\
 \text{box size} & & \text{confinement scale} & & & & \text{spacing} \\
 & & & & \Downarrow & & \\
 & & & & L/a \gg 50 & & 
 \end{array}$$

- Spatial lattice size  $L$  has to be large to avoid finite-volume effects in  $F_K$
- In order to extract  $M_s$ ,  $\mu$  has to be in the perturbative regime of QCD
- $\mu \ll 1/a$  to avoid cutoff effects

Solution: take a finite-size effect as the physical observable

$$L = 1/\mu$$

Recursive finite-size scaling technique (Lüscher, Weisz and Wolff, 1991)  $\longrightarrow$  left with  $L/a \gg 1$

## Non-perturbative renormalization

Running mass  $\overline{m}_s(\mu)$  using recursive (*step scaling*) finite-size technique,  $\mu = 1/L$

$$L_{\max} = \text{const.}/F_K = O(0.5 \text{ fm}) : \quad \longrightarrow \quad L_{\max} \overline{m}_s(\mu = 1/L_{\max})$$

↓

$$L_{\max} \overline{m}_s(\mu = 2/L_{\max})$$

↓

•

•

•

↓

$$L_{\max} \overline{m}_s(\mu = 2^n/L_{\max})$$

always  $a/L \ll 1$


perturbation theory

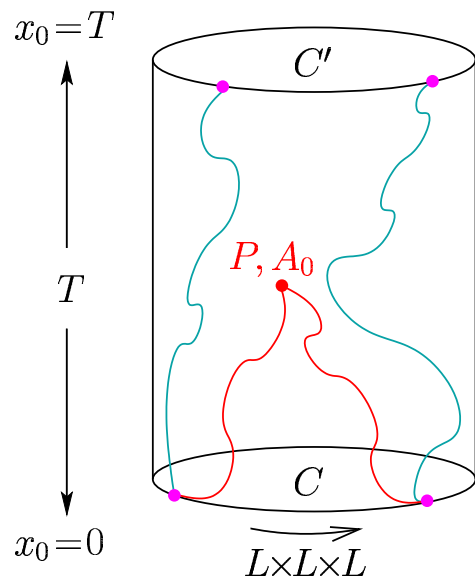
↓

$$L_{\max} M_s = \#$$

Result is a value for  $M_s/F_K = \#$

## Non-perturbative renormalization

Schrödinger Functional (SF) renormalization scheme   
(Lüscher, Narayanan, Weisz and Wolff, 1992; Sint, 1994)



Dirichlet boundary conditions at  $x_0 = 0$  and  $x_0 = T$

- Infrared cutoff  $\propto 1/T$  to the frequency spectrum of quarks and gluons  $\Rightarrow$  renormalization at zero quark mass
- $\bar{g}(L)$  defined through the variation of the effective action with respect to a change of the boundary gluon fields  $C, C'$

## Non-perturbative renormalization

Other solution:  $\mu^2 = p^2$  RI-MOM scheme

(Martinelli, Pittori, Sachrajda, Testa and Vladikas, 1995)

- Intermediate momentum subtraction (MOM) scheme:
  - fix the gauge, for example the Landau gauge
  - renormalization of amputated Green functions of operators between quark states of momentum  $p$  with  $p^2 = \mu^2$ , e.g. for a bilinear fermion operator  $O$

$$\frac{Z_O^{\text{MOM}}(g_0, ap)}{Z_\psi^{\text{MOM}}(g_0, ap)} \times \underbrace{S(p)^{-1} \langle p | O(a) | p \rangle S(p)^{-1}}_{\text{computed on the lattice}} = \text{tree level value}$$

$S(p)$  is the quark propagator,  $Z_\psi$  is the quark wave function renormalization (obtained with  $O =$  conserved vector current)

- $Z_O^{\text{MOM}}(g_0, ap)$  can be related to  $\overline{\text{MS}}$  using renormalized perturbation theory
- Problems: gauge fixing, window is required for the momentum

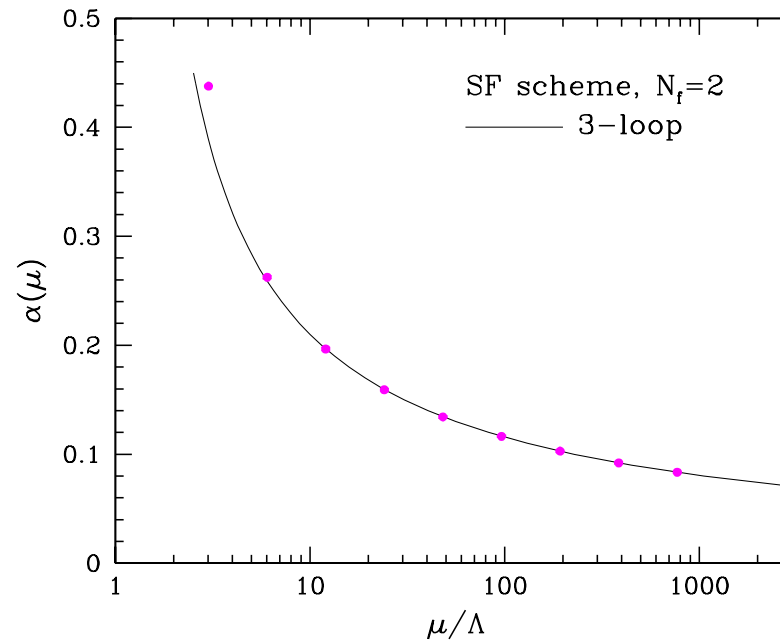
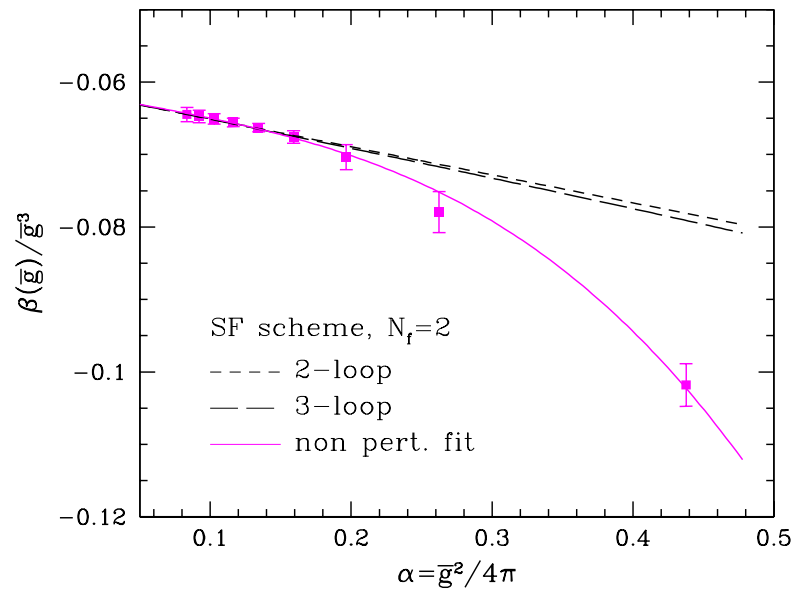
$$\Lambda_{\text{QCD}}^2 \ll p^2 \ll a^{-2}$$



## The running strong coupling $\alpha_s$

- The running strong coupling  $\alpha_s(\mu) = \bar{g}^2(\mu)/4\pi$  in the Schrödinger Functional scheme (ALPHA, Della Morte, Frezzotti, Heitger, Rolf, Sommer and Wolff, 2005)
- $\alpha_s(\mu)$  from improved bare couplings (HPQCD and UKQCD, Mason, Trottier, Davies, Foley, Gray, Lepage, Nobes and Shigemitsu, 2005)
- Comparison of the  $\Lambda$  parameters

## The running strong coupling $\alpha_s$



### Non-perturbative $\beta(\bar{g})$

- Computed from step scaling function of  $\bar{g}^2(L)$  ( $L \rightarrow 2L$ ) in the SF
- Deviations from 3-loop for  $\alpha_{\text{SF}} > 0.25$

- $N_f = 2$  flavors of massless quarks
- running starts at  $1/L_{\text{max}} \sim 0.4 \text{ GeV}$
- error bars smaller than symbol size

## The running strong coupling $\alpha_s$

- In order to put an MeV scale on  $L_{\max}$  and  $\Lambda$  computation of e.g.  $L_{\max}F_K$  is required
- . . . at present instead  $L_{\max}/r_0$  is used, scale  $r_0$  from static force  $F$  (Sommer, 1994)

$$F(r_0) r_0^2 = 1.65, \quad r_0 = 0.5 \text{ fm} \quad \text{from potential models}$$

- $r_0/a$  has been computed by QCDSF and UKQCD Collaborations (Göckeler, Horsley, Irving, Pleiter, Rakow, Schierholz, Stüben, 2004) at three lattice spacings  $a = 0.092 \dots 0.071 \text{ fm}$
- Using  $\Lambda_{\overline{\text{MS}}}^{(2)} = 2.382035(3)\Lambda^{(2)}$  (Sint and Sommer, 1996)

$$\Lambda_{\overline{\text{MS}}}^{(2)} r_0 = 0.62(4)(4) \quad \text{or} \quad \Lambda_{\overline{\text{MS}}}^{(2)} = 245(16)(16) \text{ MeV}$$

Errors:  $\Lambda L_{\max}$ , chiral extrapolation of  $r_0$

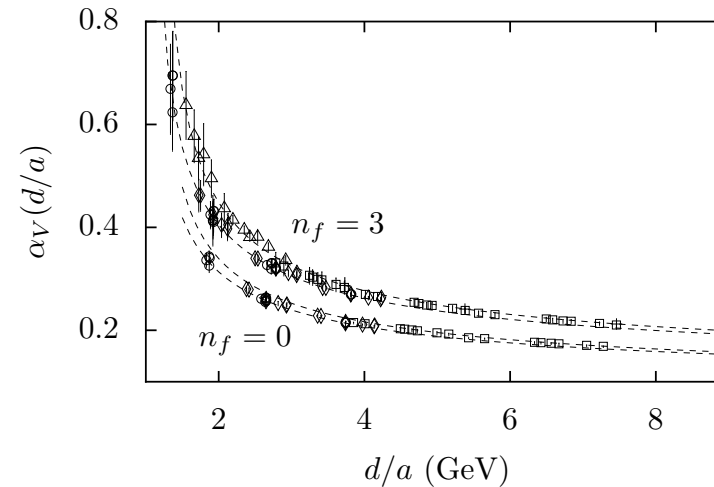
## The running strong coupling $\alpha_s$

- Lattice spacing  $a$  from  $\Upsilon$  mass splitting,  
 $a^{-1} = 1.1 - 2.3 \text{ GeV}$
- $\alpha_s$  from Wilson loops  $W_{RT}$ , “tadpole” improvement (Parisi; Lepage and Mackenzie)

$$\ln(W_{RT}) = \sum_{n=1}^{3+\dots} c_n \alpha_V^n(d/a)$$

$c_n$ ,  $n \leq 3$  computed using Feynman diagrams (Mason, Lattice2005)

- 2-loop (third order) relation between  $\alpha_V$  and  $\alpha_{\overline{\text{MS}}}$  known (Schröder, 1998)
- No continuum limit, must assume *perturbative running and matching* is accurate at scale  $a^{-1} \approx 2 \text{ GeV}$



Perturbative inclusion of  $c$  and  $b$  quarks (Chetyrkin, Kniehl and Steinhauser, 1997)

$$\alpha_{\overline{\text{MS}}}^{(5)} = 0.1170(12)$$

Are  $N_f = 3$  flavors of staggered fermion theoretically sound? (fourth root trick)

## The running strong coupling $\alpha_s$

Non-perturbative determinations of the  $\Lambda$  parameter for  $N_f = 0, 2$  compared to extractions from high-energy scattering experiments, using higher-order perturbation theory with  $r_0 = 0.5 \text{ fm}$

Reference	$N_f$	$\Lambda_{\overline{\text{MS}}}^{(N_f)} r_0$
ALPHA	0	0.60(5)
QCDSF/UKQCD <sup>a</sup>	0	0.614(2)(5)
ALPHA	2	0.62(4)(4)
QCDSF/UKQCD <sup>a</sup>	2	0.62(4)(2)
DIS @ NNLO <sup>b</sup>	4	0.57(8)
Bethke <sup>c</sup> , 2004	4	0.74(10)
Bethke, 2004	5	0.54(8)

<sup>a</sup>: from improved bare couplings

<sup>b</sup>: Blümlein, Böttcher and Guffanti, 2004; Moch, Vermaseren and Vogt, 2004; van Neerven and Zijlstra, 1991

<sup>c</sup>: perturbative matching of effective theories with  $N_f = 4$  and  $N_f = 5$  massless quarks (Bernreuther and Wetzel, 1982)

## The running quark masses: light quarks

Definition of a renormalized quark mass on the lattice

$$\overline{m}^{\overline{\text{MS}}}(\mu) = Z_m(g_0, a\mu)(m^{\text{bare}} - m_{\text{crit}})$$

Wilson fermions Additive mass renormalization  $m_{\text{crit}} \propto 1/a$ , not there using the Partial Conservation of the Axial Current (PCAC) relation

$$\partial_\mu \underbrace{\bar{u} \gamma_\mu \gamma_5 s}_{A_\mu} = (m_u^{\text{bare}} + m_s^{\text{bare}}) \underbrace{(\bar{u} \gamma_5 s)}_P + \mathcal{O}(a^2)$$

by adding  $\mathcal{O}(a)$  counterterms to the action and to  $A_\mu$  with coefficients  $c_{\text{sw}}(g_0)$  and  $c_A(g_0)$  (ALPHA)

Renormalization:  $(A_R)_\mu = Z_A A_\mu$  and  $(P_R) = Z_P P$ , comparing bare and renormalized PCAC relations

$$(\overline{m}_u + \overline{m}_s)^{\overline{\text{MS}}}(\mu) = (m_u^{\text{bare}} + m_s^{\text{bare}}) \frac{Z_A(g_0)}{Z_P^{\overline{\text{MS}}}(g_0, a\mu)}$$

## The running quark masses: light quarks

### Renormalization:

- $Z_A(g_0)$  can be computed non-perturbatively using chiral Ward identities (ALPHA)
- At 1-loop in perturbation theory

$$Z_P^{\overline{\text{MS}}}(g_0, a\mu) = 1 + \frac{g_0^2}{4\pi} [(2/\pi) \ln(a\mu) + k] + O(g_0^4)$$

$k$  is a calculable constant. On accessible lattices  $g_0 \simeq 1$ , applicability of perturbation theory is doubtful, systematic error?  $\Rightarrow$  use non-perturbative renormalization

## The running quark masses: light quarks

- Computation of the running of the renormalized quark mass and of  $M_s$   
(ALPHA, Della Morte, Hoffmann, Knechtli, Rolf, Sommer, Wetzorke and Wolff, 2005)
- Summary plot for the strange quark's mass
- Recent compilation of unquenched lattice results



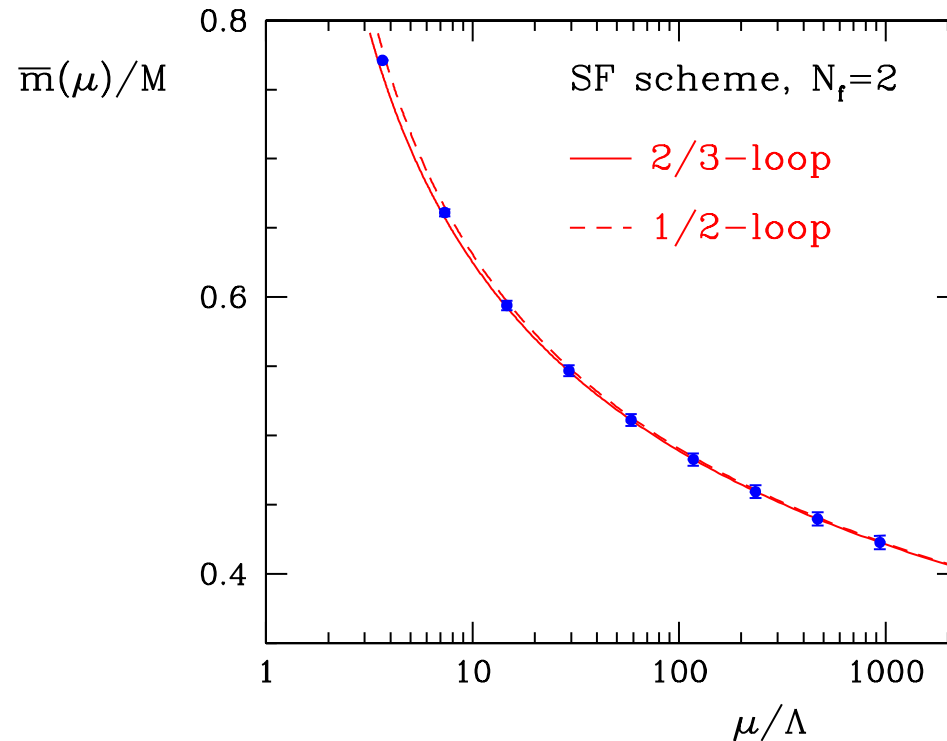
## The running quark masses: light quarks

- Running of the mass in the SF for  $N_f = 2$  flavors of mass-less quarks

$$\frac{\overline{m}(\mu)}{\overline{m}(\mu/2)} = \lim_{a \rightarrow 0} \frac{Z_P(g_0, 2L/a)}{Z_P(g_0, L/a)} \Big|_{\mu=1/L}$$

independent of the quark flavor  $q$

- In this case perturbation theory works surprisingly well down to small energies*



$$\overline{m}_s : \mu = 1/L_{\max} \xrightarrow{\text{non-pert.}} 2^6/L_{\max} \xrightarrow{\text{pert.}} \text{"}\infty\text{" (RGI) : M_s$$

$$\alpha_{\text{SF}}(L_{\max}) = 0.367$$

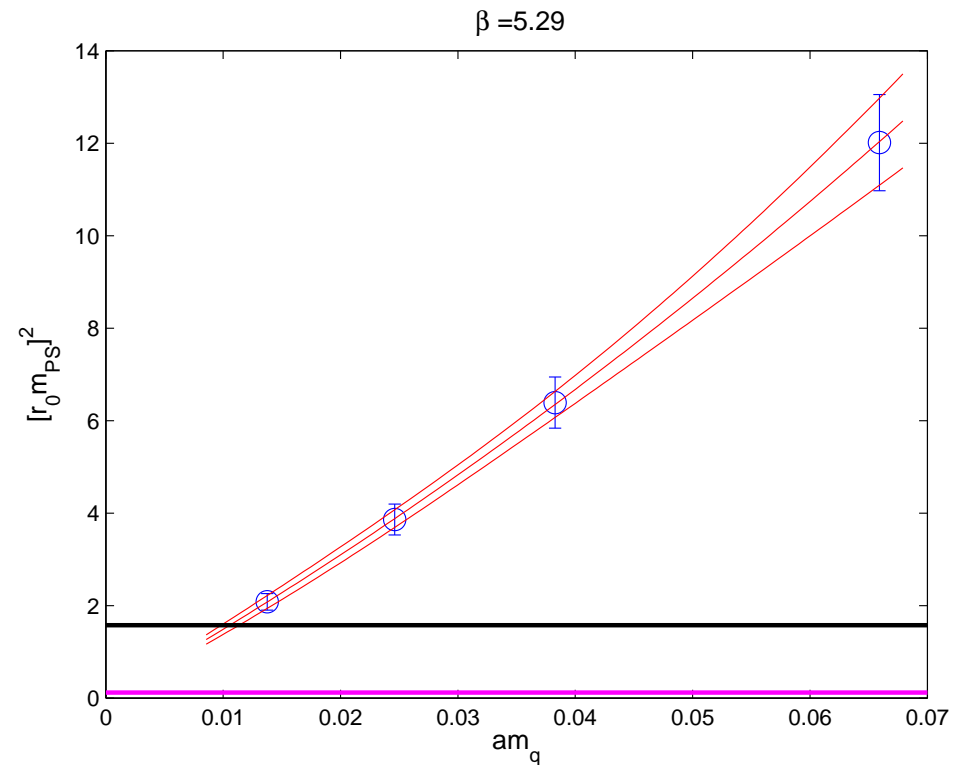
## The running quark masses: light quarks

- In order to determine  $\overline{m}_s$  need to simulate  $u$  and  $s$  quark ( $K^+$ )
- ... not possible at present, instead simulate two *degenerate* quarks with mass  $m_{\text{ref}}$  such that

$$m_{\text{PS}}(m_{\text{ref}}, m_{\text{ref}}) = m_K$$

- Fit  $m_q = m_{\text{ref}}$  to QCDSF/UKQCD data

$$r_0 m_{\text{PS}}^2 = am_q(e_1 + e_2(am_q)^2)$$



Physical pion point is very far  $\Rightarrow$  only *partially quenched* simulations and chiral extrapolation so far

## The running quark masses: light quarks

$\beta$	$\kappa_{\text{ref}}$	$L/a$	$am_{\text{ref}}$	$M_{\text{ref}}$ [MeV]
5.20	0.135680	16	0.01410(30)	58.7(3.2)
5.29	0.136018	16	0.01352(28)	63.5(3.2)
5.40	0.136293	24	0.01300(18)	72.0(2.7)

From  $\beta = 5.4$ :  $M_{\text{ref}} = 72(3)(13) \text{ MeV}$  , systematics from difference to  $\beta = 5.2$

The result is consistent with the  $N_f = 0$  number from ALPHA. We *assume* it for  $N_f = 3$  theory where we relate  $M_{\text{ref}}$  to  $M_s$  through Gell-Mann–Oakes–Renner formula

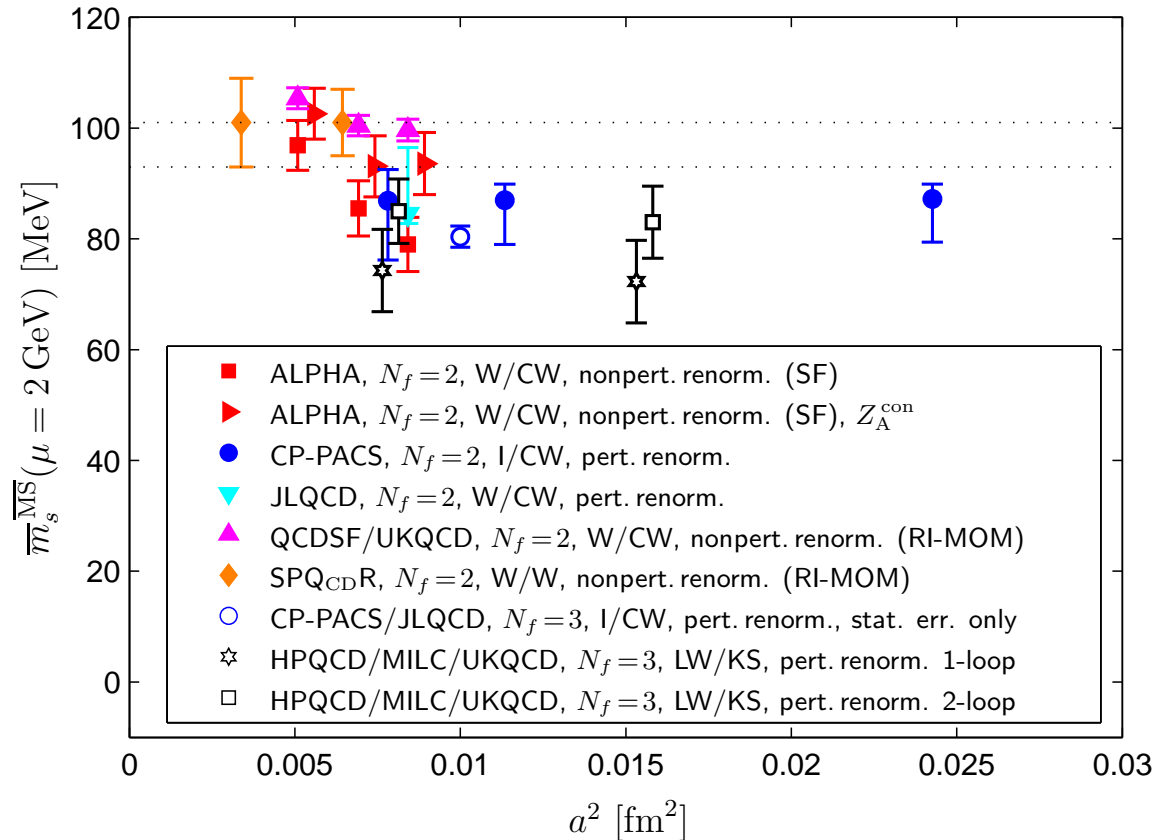
$$m_{\text{K}}^2 = \frac{1}{2} \left( m_{\text{K}^+}^2 + m_{\text{K}^0}^2 \right)_{\text{QCD}} = \left( \hat{M} + M_s \right) B_{\text{RGI}} = 2M_{\text{ref}} B_{\text{RGI}}$$

$$\Rightarrow B_{\text{RGI}} = 1.70(38) \text{ GeV}$$

with  $\hat{M} = \frac{1}{2}(M_u + M_d)$ . Together with  $M_s/\hat{M} = 24.4(1.5)$  (Leutwyler, 1996)

$$M_s = 138(5)(26) \text{ MeV} \quad \text{or} \quad \overline{m}_s^{\overline{\text{MS}}}(\mu = 2 \text{ GeV}) = 97(22) \text{ MeV} \quad [4 \text{ loop}, N_f = 2]$$

## The running quark masses: light quarks



- Physical input is the kaon mass
- Comparing bluish to reddish points  $\Rightarrow$  non-perturbative renormalization is an effect (points from perturbative renormalization for  $Z_P$  are systematically smaller), this plot shows that there is a problem (Lattice 2005)

## The running quark masses: light quarks

Recent compilation of (unquenched) lattice results in MeV

Reference	$N_f$	$\overline{m}_s^{\overline{\text{MS}}}(\mu = 2 \text{ GeV})$	$\hat{m}^{\overline{\text{MS}}}(\mu = 2 \text{ GeV})$
HPQCD/MILC/UKQCD	3	$76 \pm 3 \pm 7$	$2.8 \pm .1 \pm .3$
HPQCD/MILC/UKQCD update, 2-loop $Z_P$	3	$86 \pm 3 \pm 4$	$3.2 \pm .1 \pm .2$
CP-PACS/JLQCD	3	$91.8 \pm 3.9 \begin{pmatrix} +6.8 \\ -4.1 \end{pmatrix}$	$3.50 \pm .14 \begin{pmatrix} +.26 \\ -.15 \end{pmatrix}$
SPQ <sub>CDR</sub>	2	$101 \pm 8 \begin{pmatrix} +25 \\ -9 \end{pmatrix}$	$4.3 \pm 4 \begin{pmatrix} +1.1 \\ -0.4 \end{pmatrix}$
QCDSF/UKQCD ( $r_0 = 0.5 \text{ fm}$ )	2	$111 \pm 5 \pm 7$	$4.4 \pm .2 \pm .3$
ALPHA	2	$97 \pm 22$	–

Current summary of lattice results (PDG, A.V. Manohar and C.T. Sachrajda, 2006)

$$\hat{m}^{\overline{\text{MS}}}(\mu = 2 \text{ GeV}) = 3.8(8) \text{ MeV}, \quad \overline{m}_s^{\overline{\text{MS}}}(\mu = 2 \text{ GeV}) = 95(20) \text{ MeV}$$

Excluding lattice:  $\overline{m}_s^{\overline{\text{MS}}}(\mu = 2 \text{ GeV}) = 103(20) \text{ MeV}$ , until 1996  $125(40) \text{ MeV}$

## The running quark masses: light quarks

As pointed out by R. Sommer: present status of quark masses from lattices with  $N_f > 0$  is in a similar stage as 10 years ago with  $N_f = 0$

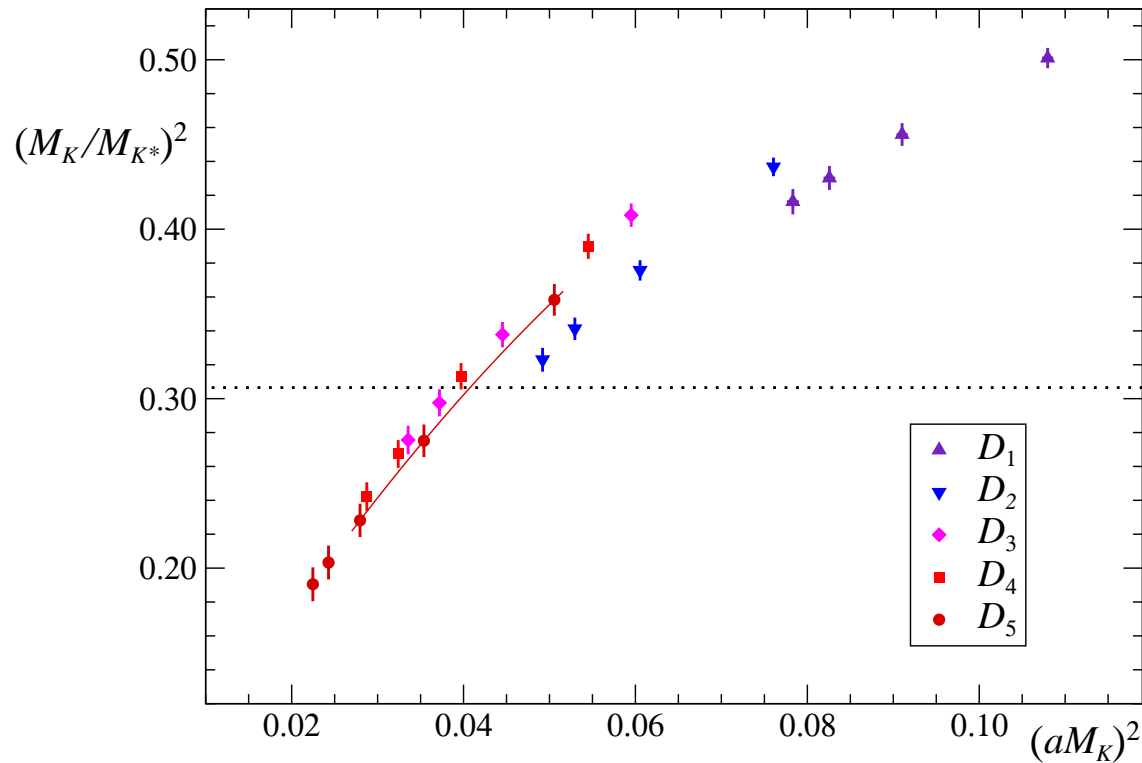
As in the quenched case the errors can be reduced by an order of magnitude, for this we need to control the systematic errors:

- non-perturbative renormalization
- scale setting
- chiral extrapolation
- finite volume effects
- continuum limit

Examples from [Del Debbio, Giusti, Lüscher, Petronzio, Tantalò, 2006]

- Setting the scale with  $N_f = 2$  sea and a valence strange quarks
- Extrapolations to smaller quark masses

## The running quark masses: light quarks



W/CW,  $\beta = 5.3$

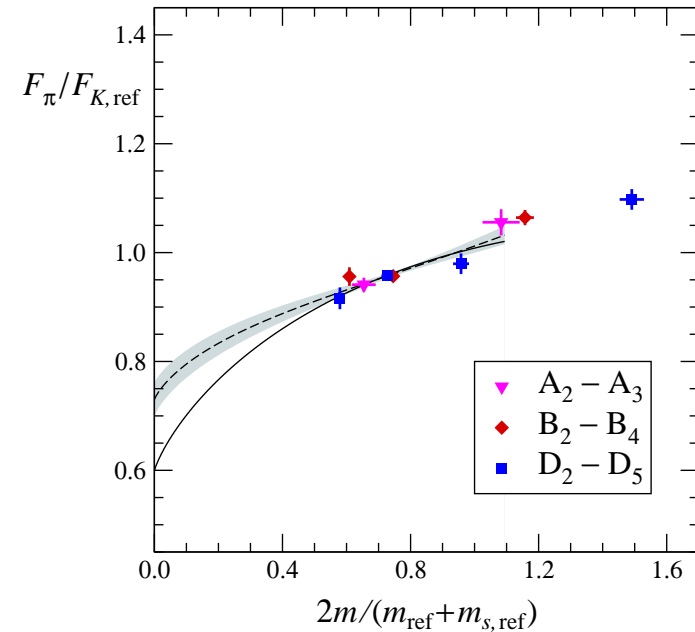
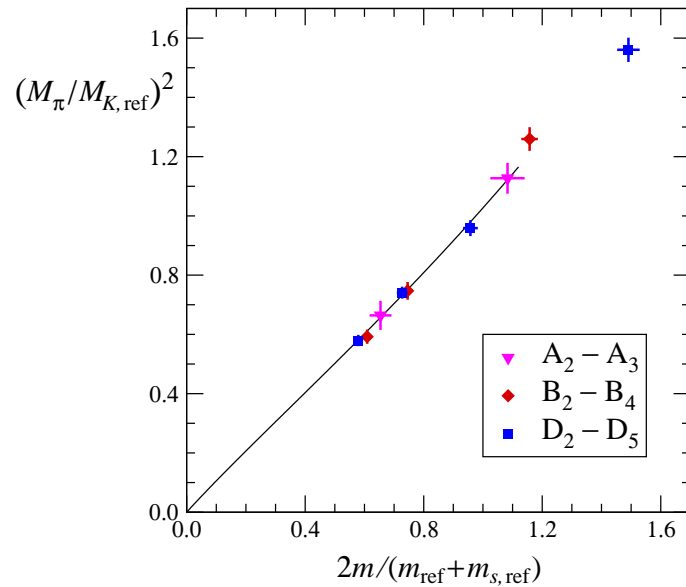
$48 \times 24^3$

$m \approx m_s \dots m_s/4$

$a(g_0) = 0.0784(10)$  fm  
(mass independent scheme)

- fix the strange quark mass  $m_{s,\text{ref}}$  by  $m_K/m_{K^*} = 0.554$  (physical value)
- fix the sea quark mass  $m_{\text{ref}}$  by  $m_\pi/m_K = 0.85 \Rightarrow a = (am_K)/(495 \text{ MeV})$
- using  $r_0 = 0.5$  fm at  $r_0 m_\pi = 1.26$ :  $a = 0.09$  fm

## The running quark masses: light quarks



1-loop chiral expansion,  $N_f = 2$ ,  $M^2 = 2B$

$$m_\pi^2 = M^2 + \frac{M^4}{32\pi^2 F^2} \ln(M^2/\Lambda_3^2) + \dots \quad F_\pi = F - \frac{M^2}{16\pi^2 F} \ln(M^2/\Lambda_4^2) + \dots$$

Data are compatible but insufficient for a reliable determination of the parameters in the chiral lagrangian



## Conclusions and Outlook

- Lattice QCD simulations can determine the fundamental parameters of QCD ( $\Lambda$ ,  $M_q$ ), defined at high energies, using hadronic quantities like  $m_\pi$ ,  $m_K$  and  $F_K$  as input
- The fundamental parameters play a key role in experiments, which aim to test the Standard Model of particle physics and find hints of physics beyond the Standard Model

### Shopping list

- simulations with quark masses smaller than  $m_s/4$
- non-perturbative renormalization
- systematic errors in scale setting and continuum limit have to be reduced
- hard but doable work!